

## CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:  
 Date: 10-4-05 Name: Richard G. Lione, Reg. No. 19,795 Signature: Richard G. Lione

JC06 Rec'd PCT/PTO 06 OCT 2005

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Takahiro Ueda et al.Appln. No.: 10/541,446
 BRINKS  
 HOFER  
 GILSON  
 & LIONE
Filed: July 5, 2005For: METHOD OF PURIFYING REDUCED COENZYME Q10Attorney Docket No: 5404/108

Examiner: Not Assigned

Art Unit: Not Assigned

Mail Stop Amendment  
 Commissioner for Patents  
 P. O. Box 1450  
 Alexandria, VA 22313-1450

## TRANSMITTAL

Sir:

## Attached is/are:

- Transmittal (in Duplicate); Supplemental Information Disclosure Statement; Form PTO-1449; and Copies of Cited References.
- Return Receipt Postcard

## Fee calculation:

- No additional fee is required.
- Small Entity.
- An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_.)
- An additional filing fee has been calculated as shown below:

|   | Claims Remaining<br>After Amendment |       | Highest No.<br>Previously Paid For | Present<br>Extra | Small Entity |           | Not a Small Entity |          |
|---|-------------------------------------|-------|------------------------------------|------------------|--------------|-----------|--------------------|----------|
|   |                                     |       |                                    |                  | Rate         | Add'l Fee | or                 | Rate     |
| Total                                     |                                     | Minus |                                    |                  | x \$25=      |           |                    | x \$50=  |
| Indep.                                    |                                     | Minus |                                    |                  | x 100=       |           |                    | x \$200= |
| First Presentation of Multiple Dep. Claim |                                     |       |                                    |                  | +\$180=      |           |                    | +\$360=  |
|   |                                     |       |                                    |                  | Total        | \$        | Total              | \$       |

## Fee payment:

- A check in the amount of \$\_\_\_\_\_ is enclosed.
- Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

Richard G. Lione  
Richard G. Lione (Reg. No. 19,795)October 4, 2005

Date

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: **Takahiro Ueda et al.**

Appln. No.: **10/541,446**

Filed: **July 5, 2005**

For: **METHOD OF PURIFYING  
REDUCED COENZYME Q<sub>10</sub>**

Attorney Docket No: **5404/108**

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant hereby cites the following reference(s):

**U.S. PATENT DOCUMENTS**

| <b>DOCUMENT NUMBER</b><br><small>Number-Kind Code (if known)</small> | <b>DATE</b> | <b>NAME</b> |
|--|-------------|-------------|
| 6,184,255 B1   | 02/06/2001  | Mae et al.  |
| 6,740,338 B1   | 05/25/2004  | Chopra      |

**FOREIGN PATENT DOCUMENTS**

| <b>DOCUMENT NUMBER</b><br><small>Number-Kind Code (if known)</small> | <b>DATE</b> | <b>COUNTRY</b> |
|--|-------------|----------------|
| JP 10-109933 A   | 04/28/1998  | Japan          |
| WO 01/52822 A1   | 07/26/2001  | WIPO           |

Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2).

Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not

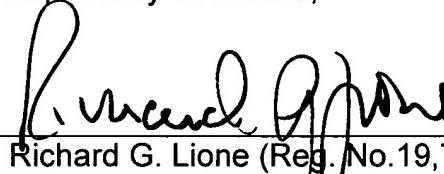
intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

October 4, 2005

Date

  
Richard G. Lione (Reg. No. 19,795)

|  |                                    |                                |
|--|------------------------------------|--------------------------------|
| FORM PTO-1449  | SERIAL NO.<br>10/541,446           | CASE NO.<br>5404/108           |
| <b>LIST OF PATENTS AND PUBLICATIONS FOR<br/>APPLICANT'S INFORMATION DISCLOSURE<br/>STATEMENT</b> | FILING DATE<br>July 5, 2005        | GROUP ART UNIT<br>Not Assigned |
| (use several sheets if necessary)  | APPLICANT(S): Takahiro Ueda et al. |                                |

**REFERENCE DESIGNATION**                    **U.S. PATENT DOCUMENTS**

| EXAMINER INITIAL | DOCUMENT NUMBER<br><small>Number-Kind Code (if known)</small> | DATE       | NAME       | CLASS/ SUBCLASS | FILING DATE |
|------------------|---|------------|------------|-----------------|-------------|
|                  | B1 6,184,255 B1   | 02/06/2001 | Mae et al. |                 |             |
|                  | B2 6,740,338 B1   | 05/25/2004 | Chopra     |                 |             |

**FOREIGN PATENT DOCUMENTS**

| EXAMINER INITIAL | DOCUMENT NUMBER<br><small>Number-Kind Code (if known)</small> | DATE       | COUNTRY | CLASS/ SUBCLASS | TRANSLATION YES OR NO |
|------------------|---|------------|---------|-----------------|-----------------------|
|                  | B3 JP 10-109933 A   | 04/28/1998 | Japan   |                 | Abstract              |
|                  | B4 WO 01/52822 A1   | 07/26/2001 | WIPO    |                 |                       |

**EXAMINER INITIAL**                    **OTHER ART – NON PATENT LITERATURE DOCUMENTS**

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)

|          |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.